Confidential once completed – for use by the Nominations Committee only

Fit and Proper Questionnaire

Important Note: Once submitted, a change in any of the responses to this questionnaire could result in the removal of your name as a candidate, or from office if already appointed.

1. Have you ever been convicted or associated with any criminal offence, including dishonesty, fraud or financial crime?  
   - [ ] YES  
   - [ ] NO

2. Have you ever been the subject of any adverse finding or any settlement in civil proceedings, in connection with investment or other financial business, misconduct or fraud?  
   - [ ] YES  
   - [ ] NO

3. Are you currently the subject of investigation or disciplinary proceedings by regulatory authorities, professional bodies or government bodies?  
   - [ ] YES  
   - [ ] NO

4. Have you ever been disciplined by regulatory authorities, professional bodies or government bodies?  
   - [ ] YES  
   - [ ] NO

5. Have you ever been dismissed, disqualified or asked to resign from a position of trust or fiduciary appointment, or been disqualified from acting as a director?"  
   - [ ] YES  
   - [ ] NO

6. Are there any current proceedings which might lead you to being unable to answer “no” to any of the above questions at some future time?  
   - [ ] YES  
   - [ ] NO

7. Please provide an explanation for any of the above questions to which you are unable to provide the answer “no”.

   ___________________________________________________________
   ___________________________________________________________
   ___________________________________________________________

   Print name ___________________ Signature ___________________ Date ___________________

Adopted by the Nominations Committee September 2011; last revised July 2012

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